



Precisely identifying Hamiltonians from dynamical data

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Quantum laws of nature



THE HAMILTONIAN

Image Ref.: Immanuel Bloch, CERN Colloquium 09/18

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- (b) Certifying they are doing the right thing.



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WE NEED IT AND WE CAN DO IT

Bose-Hubbard physics

$$H = -\sum_{\langle ij\rangle} J_{ij} \left(b_i^{\dagger} b_j + b_j^{\dagger} b_i \right) + \sum_i \mu_i b_i^{\dagger} b_i + U \sum_i b_i^{\dagger} b_i^{\dagger} b_i b_i$$



Cold atoms in optical lattices

Superconducting qubits

4/29

Bose-Hubbard physics

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THEORY LAND

Theory

- [Qi and Ranard, 2019] : Local Hamiltonians can generically be identified from two-point correlations on a single eigenstate.
- [Anshu *et al.*, 2020] : Local Hamiltonians can be identified from polynomially many measurements on $\exp(-\beta H)$.
- [Li et al., PRL (2020)] : Generalized conservation of energy fixes the Hamiltonian.
- [Yu et al., 2201.00190] : Pauli-sparse Hamiltonians can be efficiently identified (SPAM-robustly).

Small-scale experiments using dynamical data

- NMR experiments for up to 3 qubits. Dominant error is decoherence. [e.g. Zhang and Sarovar, 2014; Hou *et al.*, 2017, Chen *et al* (2021)]
- Liouvillian tomography [Samach et al., 2105.02338]

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- incoherent + state-preparation and measurement (SPAM) errors, AND
- scalable to intermediate-scale devices, AND
- practically applicable



^{identifie} "How do we identify our Hamiltonian?"

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The Hamiltonian identification problem



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- 1. What data O_m, ψ_n are needed and measurable?
- 2. How can we identify H from those data?

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$$a_m(t) = \sum_{j=1}^{N} (e^{-ith})_{m,j} a_j$$
$$|\psi_n\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |0, \dots, 0, 1, 0, \dots, 0\rangle) = \frac{1}{\sqrt{2}} (|0\rangle + |1_n\rangle)$$

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$$\uparrow_n^{th}$$

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ightarrow Measure as $\langle x_m(t)
angle_{\psi_n}+i\langle {\cal P}_m(t)
angle_{\psi_n}=\langle a_m(t)
angle_{\psi_n}$

Time slices







$$e^{-ith} = \sum_{k=1}^{N} e^{-it\lambda_k} |\mathbf{v}_k\rangle \langle \mathbf{v}_k|$$





Frequency extraction la mobile communication: ESPRIT

- **1.** Take data at equally spaced times $t_l = l \cdot \Delta t$, l = 1, ..., L.
- **2.** Prepare data for processing: $S[I] = \text{Tr}[e^{-it_l h}] = \sum_{k=1}^{N} e^{-it_l \lambda_k} \longrightarrow \hat{S}[I] = \sum_{k=1}^{N} c_k e^{-i\delta t \lambda_k I} + \eta$





Frequency extraction la mobile communication: ESPRIT

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Algorithm ESPRIT(S, n, L)Input: $S \in \mathbb{C}^L$, $N \in \mathbb{N}$, $M \leq L$.1: Set $H = \text{Hankel}_M(S) \in \mathbb{C}(M \times L - M + 1)$.2: Calculate the SVD of $H = (U|U_{\perp})\Sigma(V|V_{\perp})^{\dagger}$.3: Calculate $\Psi = (U^{\uparrow})^+ U^{\downarrow}, \quad U^{\uparrow,\downarrow} \in \mathbb{C}(M - 1 \times L)$.4: Calculate $z = \text{eigs}(\Psi) \in \mathbb{C}^N$.Output: z





ESPRIT in action



ESPRIT in action



Theory (Li et al., 2019)

For sparse signal $N^2 \leq L$ and low noise $\|\eta\| \leq SRF^{-(4|\Lambda|-3)}/L$: $\Rightarrow \max_k |\lambda_k - \hat{\lambda}_k| \in O(SRF^{2|\Lambda|-2} \|\eta\|)$ $SRF = 1/(L \cdot \min_{i,j} |\lambda_i - \lambda_j|)$



Li et al., arXiv:1905.03782

ESPRIT in action



Li et al., arXiv:1905.03782


Identification algorithm



Identification algorithm



Eigenspace reconstruction



EXPERIMENT

Getting our hands dirty



Getting our hands dirty



Getting our hands dirty



Getting our hands dirty ... and putting the pink glasses back on



Getting our hands dirty ... and putting the pink glasses back on



$$\mathbf{y}(t) = \frac{1}{2} \mathbf{e}^{-ith} \cdot \mathbf{S} \longrightarrow \mathbf{y}^{(t_0)}(t) = \frac{1}{2} \mathbf{y}(t) \cdot \mathbf{y}(t_0)^{-1}$$

$$y(t) = \frac{1}{2}e^{-ith} \cdot S \longrightarrow y^{(t_0)}(t) = \frac{1}{2}y(t) \cdot y(t_0)^{-1}$$

$$y^{(l_0)}[l] = \frac{1}{2}y[l](y[l_0])^{-1}$$

$$= \frac{1}{2}e^{-it_lh}S\left(e^{-it_{l_0}h}S\right)^{-1} = \frac{1}{2}e^{-it_lh}SS^{-1}e^{it_{l_0}h}$$

$$= \frac{1}{2}e^{-i(t_l - t_{l_0})h}$$

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$$= \frac{1}{2}e^{-i(t_1-t_{l_0})h}$$

Average over different y[I₀], by concatenating every s data points

 $\textbf{y}_{total,s} = (\textbf{y}^{(0)}, \textbf{y}^{(s)}, \textbf{y}^{(2s)}, \ldots)$

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Average over different y[I₀], by concatenating every s data points

 $\textbf{y}_{total,s} = (\textbf{y}^{(0)}, \textbf{y}^{(s)}, \textbf{y}^{(2s)}, \ldots)$

Estimate initial map as $\hat{S} = \frac{2}{L} \sum_{l=1}^{L} e^{it_l \hat{h}} y[l]$ given estimate \hat{h} of h.

Removing initial vs. removing final map



$$\mathbf{y}^{(t_0)}(t) = rac{1}{2}\mathbf{M}\cdot\mathbf{e}^{-ith}\cdot\mathbf{S}$$
 \longrightarrow $\mathbf{y}^{(t_0)}(t) = \mathbf{M}\mathbf{e}^{-i(t-t_0)h}\mathbf{M}^{-1}$

- 1. Frequencies are unaltered!
- 2. The eigenbasis of h is constrained to orthogonal

$$\implies \hat{h} = O_M h O_M^T \text{ with } O_M = \arg\min_O \|O - M\|_2$$

3. For diagonal $M = \text{diag}(e^{i\delta_1}, \dots, e^{i\delta_N})$: $D_M = \text{diag}(\{+1, -1\}^N)$

We can identify the signs, assuming that Hamiltonian does not deviate by a sign flip in the projectors.

$$\longrightarrow \hat{\mathbf{S}} = \mathbf{D}_{\mathbf{M}} \hat{\mathbf{S}}', \quad \hat{\mathbf{h}} = \mathbf{D}_{\mathbf{M}} \hat{\mathbf{h}}' \hat{\mathbf{D}}_{\mathbf{M}}$$

Reconstructing a Hamiltonian



Reconstructing a Hamiltonian

(b)	Target h_0				Identified \hat{h}				$(h_0 - \hat{h}) \times 10$				(c) Initial map Ŝ						Final map \hat{D}_{M}							
18	-20	0	0	0	19	-19	0.2	0.2	-0.3	-14	-6.4	-2.3	-1.6	2.9	MHz	0.8	-0.2	-0.1	0	0	1	0	0	0	0	_1
-20	-11	-20	0	0	-19	-10	-20	-0.1	-0.3	-6.4	-2.7	0.2	1.4	3.4	10	-0.1	-0.6	-0.1	0	0	0	-1	0	0	0	
0	-20	1.3	-20	0	0.2	-20	0.1	-19	-0.3	-2.3	0.2	12	-14	3.3	10	0	-0.2	0.8	-0.1	0	0	0	1	0	0	
0	0	-20	8.5	-20	0.2	-0.1	-19	11	-20	-1.6	1.4	-14	-21	-1.3	-10	0	0	0.1	-0.4	0	0	0	0	-1	0	
0	0	0	-20	-16	-0.3	-0.3	-0.3	-20	-16	2.9	3.4	3.3	-1.3	1.4	20	0	0	0	-0.1	-0.9	0	0	0	0	-1	L1

Reconstructing a Hamiltonian



$$\mathcal{E}_{ ext{analog}}(\hat{h},h_{ ext{O}}) = rac{1}{N} \|\hat{h} - h_{ ext{O}}\|_2$$

Characterizing the analog performance of an entire chip #1



Characterizing the performance of an entire chip #2



Characterizing the performance of an entire chip #2



Characterizing the performance of an entire chip #2



Characterizing the analog performance of an entire chip #3



Median entrywise deviation [MHz]



Characterizing the analog performance of an entire chip #3



Median entrywise deviation [MHz]





So how did we solve the Hamiltonian identification problem?

Summary



- **1 Sparsity** of the frequency spectrum.
- 2 Orthogonality of the eigenbasis.
- **3** Sparsity of the Hamiltonian support.
- 4 SPERROR removal.

Summary



Two-body interactions

ongoing with Jonas Fuksa, Ingo Roth

$$H(h, V) = \sum_{ij} h_{i,j} a_i^{\dagger} a_j + \sum_{ij,kl} V_{ij,kl} a_i^{\dagger} a_j^{\dagger} a_k a_l.$$

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$$H(h, V) = \sum_{ij} h_{i,j} a_i^{\dagger} a_j + \sum_{ij,kl} V_{ij,kl} a_i^{\dagger} a_j^{\dagger} a_k a_l.$$

Identification

$$|\psi_{kl}\rangle = \begin{cases} \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle_k \otimes |1_l\rangle), & k \neq l \\ \frac{1}{\sqrt{2}}(|0\rangle + |2\rangle_k), & k = l \end{cases}$$

$$\langle a_m a_n \rangle_{kl}(t) = \frac{1}{2} \exp \{-i(h \otimes 1 + 1 \otimes h + 2V)\}_{mn,kl}$$

$$\longrightarrow \text{ Need to measure correlators } \langle x_m x_n \rangle_{klr} \langle x_m p_n \rangle_{klr} \langle p_m x_n \rangle_{klr} \langle p_m p_n \rangle_{kl}.$$

 \rightarrow V symmetric and diagonalizable by $O \otimes O$.

Outlook #2: Specific systems

Solid-state simulators ongoing with Noah Berthusen, Ingo Roth, Michael Gullans

- Measure n_i
- Initial states $|1\rangle_k + |1\rangle_l$ and $|1\rangle_k + i|1\rangle_l$



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- Measure n_i
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 - \rightarrow $y_{i,kl} = U(t)_{ik}U(t)_{li}^{\dagger}$

Challenges

- Requires vector-ESPRIT for frequency extraction.
- Identification of n(n-1) pairs $\lambda_k \lambda_l \rightarrow \text{extract } \lambda_k$

• System size scaling

Frequency resolution scales as 1/T, but the number of detected frequencies scales as poly(N)

 \longrightarrow $T \in poly(N) \dots$

• Data type

Scalar version of ESPRIT does not work on photon-number data.

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Vectorizing ESPRIT

with Jonas Fuksa and Ingo Roth

• Recover frequencies jointly from the entries of

$$U(t) = \sum_{\lambda, i, j} e^{-i\lambda t} \underbrace{\langle i | \lambda
angle \langle \lambda | j
angle}_{a_{\lambda, i, j}} | i
angle \langle j |$$

Outlook #4: Theory questions



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Recovery guarantees for

- variants of ESPRIT
- conjugate-gradient method

Application to spin systems

hopping + single excitations

THANK YOU

Measurement errors

[YSHY22] <- [HYF21]

- + RB of the measurements
- short-time evolution

More

▶ ??????????

Comparison to other methods

→ Generalized energy conservation [LZH20]

Frequency extraction à la mobile communication: ESPRIT

Algorithm ESPRIT(**S**, *n*, *L*)

Input: $S \in \mathbb{C}^{L}$, $N \in \mathbb{N}$, $M \leq L$.

- 1: Set $H = \text{Hankel}_M(S)$.
- 2: Calculate the SVD of $H = (U|U_{\perp})\Sigma(V|V_{\perp})^{\dagger}$.
- 3: Calculate $\Psi = (U^{\uparrow})^+ U^{\downarrow}$.
- 4: Calculate $z = eigs(\Psi)$.

Output: z
Algorithm ESPRIT(S, n, L)Hankel_M(S) =S[0]S[1]S[L - M]Input: $S \in \mathbb{C}^L$, $N \in \mathbb{N}$, $M \leq L$.Hankel_M(S) =S[0]S[1]S[L - M]1: Set H = Hankel_M(S).S[M]S[M - 1]S[L]2: Calculate the SVD of H= $(U|U_{\perp})\Sigma(V|V_{\perp})^{\dagger}$.S[L]3: Calculate $\Psi = (U^{\uparrow})^+U^{\downarrow}$.S[L]S[L]4: Calculate $z = eigs(\Psi)$.Output: z

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Algorithm ESPRIT(S, n, L)Input: $S \in \mathbb{C}^L$, $N \in \mathbb{N}$, $M \leq L$.1' Set $H = \text{Hankel}_M(S)$. 2: Calculate the SVD of H = $(\boldsymbol{U}|\boldsymbol{U}_{\perp})\Sigma(\boldsymbol{V}|\boldsymbol{V}_{\perp})^{\dagger}$. – $\rightarrow H = \Phi_M \operatorname{diag}(\mathbf{c}) \Phi_{I-M}^T = U \Sigma V^{\dagger}, U = \Phi_M P$ 3: Calculate $\Psi = (U^{\uparrow})^+ U^{\downarrow}$. – 4: Calculate $z = eigs(\Psi)$. $\Phi_{M} = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ z_{1} & z_{2} & \cdots & z_{N} \\ \vdots & \vdots & & \vdots \\ z_{M}^{M} & z_{M}^{M} & \cdots & z_{N}^{M} \end{pmatrix}, z_{k} = e^{-i\lambda_{k}\Delta t}$ Output: z $\Phi_{\pmb{M}}^{\scriptscriptstyle +} = \Phi_{\pmb{M}}^{\uparrow} \operatorname{diag}({f z})$ $\Psi = (\boldsymbol{U}^{\uparrow})^{\dagger} \boldsymbol{U}^{\downarrow} = \boldsymbol{P}^{-1} \operatorname{diag}(\mathbf{z}) \boldsymbol{P}^{\downarrow}$





Task:

Given $\lambda_1, \ldots, \lambda_N$, reconstruct $|v_1\rangle, \ldots, |v_N\rangle$

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subject to

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$$\langle \mathbf{v}_{m} | \mathbf{v}_{n} \rangle = \delta_{m,n}$$

2. $\left(\sum_{k} \lambda_{k} | \mathbf{v}_{k} \rangle \langle \mathbf{v}_{k} | \right)_{\overline{\Omega}} = \mathbf{0}$
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Solution:

Algorithm Conjugate gradient descent

Input: Objective function $f: O(N) \rightarrow \mathbb{R}$

- 1: Calculate Euclidean gradient $E_k = \nabla f(Q_k)$
- 2: Calculate Riemannian gradient $R_k(E_k, Q_k)$.
- 3: Parallel transport R_{k-1} to Q_k and calculate conjugate search direction $H_k(R_k, \hat{R}_{k-1})$.

4: Perform line search with H_k to obtain t_k .

5: Set
$$Q_{k+1} = \exp(H_k t_k)Q_k$$
.

Output: Q_{final}